

Rational Cohomology of  
Configuration Spaces of Surfaces

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1. Introduction. The  $k$ -th configuration space  $C^k(M)$  of a manifold  $M$  is the space of all unordered  $k$ -tuples of distinct points in  $M$ . In previous work [BCT] we have determined the rank of  $H_*(C^k(M); \mathbb{F})$  for various fields  $\mathbb{F}$ . However, for even dimensional  $M$  the method worked for  $\mathbb{F} = \mathbb{F}_2$  only. The following is a report on calculations of  $H^*(C^k(M); \mathbb{Q})$  for  $M$  a deleted, orientable surface. This case is of considerable interest because of its applications to mapping class groups, see [BCP]. Similar results for  $(m-1)$ -connected, deleted  $2m$ -manifolds will appear in [BCM].

2. Statement of results. The symmetric group  $\Sigma_k$  acts freely on the space  $\tilde{C}^k(M)$  of all ordered  $k$ -tuples  $(z_1, \dots, z_k)$ ,  $z_i \in M$ , such that  $z_i \neq z_j$  for  $i \neq j$ . The orbit space is  $C^k(M)$ . As in [BCT] we will determine the rational vector space  $H^*(C^k(M); \mathbb{Q})$  as part of the cohomology of a much larger space. Namely, if  $X$  is any space with basepoint  $x_0$ , we consider the space

$$(1) \quad C(M; X) = \left( \prod_{k \geq 1} \tilde{C}^k(M) \times_{\Sigma_k} X^k \right) / \approx$$

where  $(z_1, \dots, z_{k_1}; x_1, \dots, x_{k_1}) \approx (z_1, \dots, z_{k-1}; x_1, \dots, x_{k-1})$  if  $x_k = x_0$ .

The space  $C$  is filtered by subspaces

$$(2) \quad F_k C(M; X) = \left( \prod_{j=1}^k \tilde{C}^j(M) \times_{\Sigma_j} X^j \right) / \approx$$

and the quotients  $F_k C / F_{k-1} C$  are denoted by  $D_k(M; X)$ .

Let  $\bar{M}_g$  denote a closed, orientable surface of genus  $g$ , and  $M_g$  is  $\bar{M}_g$  minus a point. We study  $C(M_g; S^{2n})$  for  $n \geq 1$ .  $H^*$  will always stand for

rational cohomology, and  $P[\ ]$  resp.  $E[\ ]$  for polynomial resp. exterior algebras over  $\mathbb{Q}$ .

Theorem A. There is an isomorphism of vector spaces

$$(3) \quad H^*C(M_g; S^{2n}) \cong P[v, u_1, \dots, u_{2g}] \otimes H_*(E[w, z_1, \dots, z_{2g}], d)$$

with  $|v|=2n$ ,  $|u_i|=4n+2$ ,  $|w|=4n+1$ ,  $|z_i|=2n+1$ , and the differential  $d$  is given by  $d(w) = 2(z_1 z_2 + \dots + z_{2g-1} z_{2g})$ .

Giving the generators weights,  $\text{wght}(v) = \text{wght}(z_i) = 1$  and  $\text{wght}(u_i) = \text{wght}(w) = 2$ , makes  $H^*C$  into a filtered vector space. We denote this weight filtration by  $F_k H^*C$ . The length filtration  $F_k C$  of  $C$  defines a second filtration  $H^*F_k C$  of  $H^*C$ .

Theorem B. As vector spaces

$$(4) \quad H^*F_k C(M_g; S^{2n}) = F_k H^*C(M_g; S^{2n}).$$

It follows that  $H^*D_k(M_g; S^{2n})$  is isomorphic to the vector subspace of  $H^*(g, n) = P[v, u_i] \otimes H_*(E[w, z_i], d)$  spanned by all monomials of weight exactly  $k$ . To obtain the cohomology of  $C^k(M_g)$  itself, we consider the vector bundle

$$(5) \quad \eta^k: \tilde{C}^k(M_g) \times_{\Sigma_k} \mathbb{R}^k \rightarrow C^k(M_g)_+$$

which has the following properties. First, the Thom space of  $m$  times  $\eta^k$  is homomorphic to  $D_k(M_g; S^m)$ . Secondly, it has finite even order, see [CCKN]. Hence

$$(6) \quad D_k(M_g; S^{2n_k}) = \Sigma^{2n_k - k} C^k(M_g)_+$$

for  $2n_k = \text{ord}(\eta^k)$ . Thus we have

Theorem C. As a vector space,  $H^*C^k(M_g)$  is isomorphic to the vector subspace generated by all monomials of weight  $k$  in  $H^*(g, n_k)$ , desuspended  $2n_k$  times.

Regarding the homology of  $E = E[w, z_1, \dots, z_{ig}]$  we have

Theorem D. The homology  $H_*(E, d)$  is as follows:

- (7)  $\text{rank } H_{i(2n+1)} = \binom{2g}{i} - \binom{2g}{i-2}$  for  $i = 0, 1, \dots, g$ , and all (non-zero) elements have weight  $i$ ;
- (8)  $\text{rank } H_{i(2n+1)+4n+1} = \binom{2g}{i} - \binom{2g}{i+2}$  for  $i = g, \dots, 2g$ , and all (non-zero) elements have weight  $i+2$ ;
- (9)  $\text{rank } H_j = 0$  in all other degrees  $j$ .

Note the apparent duality  $\text{rank } H_j = \text{rank } H_{N-j}$  for  $N = 2g(2n+1) + 4n + 1$ .

We will give the proof of Theorem A in the next section. The proof of Theorem B is the same as for [BCT, Thm.B]. By what we said above Theorem C follows from Theorem B. And Theorem D will be derived in the last section.

3. Mapping spaces and fibrations. Let  $D$  denote an embedded disc in  $M_g$ .

There is a commutative diagram

$$(10) \quad \begin{array}{ccc} C(D; S^{2n}) & \longrightarrow & \Omega^2 S^{2n+2} \\ \downarrow & & \downarrow \\ C(M_g; S^{2n}) & \longrightarrow & \text{map}_O(M_g; S^{2n+2}) \\ \downarrow & & \downarrow \\ C(M_g, D; S^{2n}) & \longrightarrow & (\Omega S^{2n+2})^{2g} \end{array}$$

where  $\text{map}_O$  stands for based maps. The right column is induced by restricting to the 1-section, and is a fibration. The left column is a quasifibration. Since  $S^{2n}$  is connected, all three horizontal maps

are equivalences, see [M], [B] for details.

The  $E_2$ -term of the Serre spectral sequence of these (quasi)fibrations is as follows. From the base we have  $2g$ -fold tensor product of

$$(11) \quad H^* \Omega S^{2n+2} = H^*(S^{2n+1} \times \Omega S^{4n+3}) = E[z_i] \otimes P[u_i] \quad (i = 1, \dots, 2g),$$

where  $|z_i| = 2n+1$  and  $|u_i| = 4n+2$ . From the fibre we have

$$(12) \quad H^* \Omega^2 S^{2n+2} = H^*(\Omega S^{2n+1} \times \Omega^2 S^{4n+3}) = H^*(\Omega S^{2n+1} \times S^{4n+1}) \\ = P[v] \otimes E[w],$$

where  $|v| = 2n$  and  $|w| = 4n+1$ . The following determines all differentials in this spectral sequence.

Lemma. The differentials are as follows:

$$(13) \quad d_{2n+1}(v) = 0$$

$$(14) \quad d_{4n+2}(w) = 2z_1 z_2 + 2z_2 z_3 + \dots + 2z_{2g-1} z_{2g}$$

Proof: Assertion (13) follows from the stable splitting of  $C(M_g; S^{2n})$ , on [B]. (14) results from symmetries of  $M_g$  and of the fibrations (10) which leave  $d$  invariant. ■

The lemma implies  $E_{4n+3} = E_\infty = H^* C(M_g; S^{2n})$ . Furthermore,  $E_{4n+3}$  is a tensor product of the polynomial algebra  $P[v, u_1, \dots, u_{2g}]$  and the homology module  $H_*(E, d)$  of the exterior algebra  $E = E[w, z_1, \dots, z_{2g}]$  with differential  $d$ . This proves Theorem A.

4. Homology of E. Let us write  $x_i = z_{2i-1}$  and  $y_i = z_{2i}$  for  $i = 1, \dots, g$ . The form  $d(w) = 2z_1 z_2 + 2z_2 z_3 + \dots + 2z_{2g-1} z_{2g}$  is equivalent to the standard symplectic form  $x_1 y_1 + x_2 y_2 + \dots + x_g y_g$ . The vector space

$E[g] = L[g] \oplus wL[g]$  with  $L[g] = E[x_1y_1, \dots, x_gy_g]$ . The differential is zero on the first summand, and sends the second to the first. Hence we regard  $d$  as an endomorphism of  $L[g]$ , given by multiplication with  $d(w) = x_1y_1 + \dots + x_gy_g$ .

Let  $L_k[g]$  denote the vector subspace spanned by all  $k$ -fold products

$$(15) \quad z_{i_1} z_{i_2} \dots z_{i_n} \quad \text{with } 1 \leq i_1 < i_2 < \dots < i_k \leq 2g.$$

Since  $d(w)$  is homogeneous of weight 2, we have

$$(16) \quad d = d[g] = \bigoplus_{k=0}^{2g} d_k[g], \quad d_k[g]: L_k[g] \longrightarrow L_{k+2}[g].$$

The (co)kernel of  $d_k[g]$  is determined by the (co)kernel of  $d_1[g-1]$  and  $d_1[g-1]^2$  for  $l = k, k-1, k-2$ . The (co)kernel of  $d_1[g-1]^2$  in turn is determined by the (co)kernels of  $d_m[g-2]^2$  and  $d_m[g-2]^3$  for  $m = 1, 1-1, 1-2$ . Therefore we will study all powers  $d_k[g]^r$  and prove the following (Lefschetz) lemma by simultaneous induction on  $g$ ,  $k$  and  $r$ .

Lemma. For  $0 \leq k \leq g$  the differential

$$d_k[g]^r : L_k[g] \longrightarrow L_{k+2r}[g] \text{ is}$$

(17) a monomorphism for  $0 \leq k < g-r$ ,

(18) an isomorphism for  $k = g-r$

(19) an epimorphism for  $g-r < k \leq 2g$

Proof: For  $\lambda_g = \sum_{i=1}^g x_i y_i$  we have  $\lambda_g = \lambda_{g-1} + x_g y_g$  and  $\lambda_g^r = \lambda_{g-1}^r + r \lambda_{g-1}^{r-1} x_g y_g$ ,

in particular  $\lambda_g^g = g! \omega_g$  where  $\omega_g = x_1 y_1 x_2 y_2 \dots x_g y_g$  is the volume element. To facilitate the induction, we decompose  $L_k[g]$  further by partitioning the canonical basis elements (15) into four types.

$$(20) \quad i_k \leq 2g-2$$

$$(21) \quad i_{k-1} \leq 2g-2 \text{ and } i_k = 2g-1,$$

$$(22) \quad i_{k-1} \leq 2g-2 \text{ and } i_k = 2g,$$

$$(23) \quad i_{k-1} = 2g-1 \text{ and } i_k = 2g.$$

Hence  $L_k[g] = L_k[g-1] \oplus L_{k-1}[g-1]x_g \oplus L_{k-1}[g-1]y_g \oplus L_{k-2}[g-1]x_g y_g$ .

With respect to this decomposition  $d_k[g]^r$  has the following matrix form

$$(24) \quad d_k[g]^r = \begin{bmatrix} d_k[g-1]^r & 0 & 0 & rd_k[g-1]^{r-1} \\ 0 & d_{k-1}[g-1]^r & 0 & 0 \\ 0 & 0 & d_{k-1}[g-1]^r & 0 \\ 0 & 0 & 0 & d_{k-2}[g-1]^r \end{bmatrix} = \begin{bmatrix} A & 0 & 0 & A' \\ 0 & B & 0 & 0 \\ 0 & 0 & B & 0 \\ 0 & 0 & 0 & C \end{bmatrix}$$

To start the induction consider the case  $g=1$ . The only non-zero differential  $d_0[1]: L_0[1] \rightarrow L_2[1]$  is an isomorphism. For  $g \geq 2$  and  $k=0$ ,  $d_0[g]^r$  sends the generator of  $L_0[g]$  to  $\lambda_g^r$ , and thus is monic. Assume the lemma holds for  $g-1$ . We distinguish three cases.

Case  $k < g-r$ : Then  $A, A', B$  as well as  $C$  in (24) are all monomorphisms by hypothesis. Hence, from  $0 = d_k[g]^r(a, b_1, b_2, c) = (A(a), B(b_1), B(b_2), A'(a) + C(c))$  we conclude  $a = b_1 = b_2 = 0$ , and so  $c = 0$  as well. Thus  $d_k[g]^r$  is a monomorphism.

Case  $k = g-r$ : Here  $A$  is an epimorphism,  $A'$  and  $B$  are isomorphisms, and  $C$  is a monomorphism. Assume  $0 = d_k[g]^r(a, b_1, b_2, c) = (A(a), B(b_1), A'(a) + C(c))$ . First,  $b_1 = b_2 = 0$ . We now have  $A(a) = d_k[g-1]^r a = 0$  and  $d_{k-2}[g-1]^r c = -rd_k[g-1]^{r-1} a$ ; writing this as  $d_k[g-1]^r(-ra) = A(-ra) = 0$ . Thus, since  $d_{k-2}[g-1]^{r+1}$  is an isomorphism,  $c = 0$ . Therefore,  $-rd_{g-r}[g-1]^{r-1} a = 0$ , and  $a = 0$  since  $d_{g-r}[g-1]^{r-1}$  is an isomorphism. We see that  $d_k[g]^r$  is a monomorphism between vector spaces of equal dimensions, hence an isomorphism.

Case  $k > g - r$ : This time  $A, A', B, C$  are epimorphisms. Given  $(\bar{a}, \bar{b}_1, \bar{b}_2, \bar{c}) \in L_{k+2r}[g]$  we can first find  $a, b_1, b_2$  satisfying  $A(a) = \bar{a}, B(b_1) = \bar{b}_1$  and  $B(b_2) = \bar{b}_2$ . Then we choose  $c$  such that  $C(c) = \bar{c} - A'(a)$ . Hence  $d_k[g]^F$  is epimorphic. ■

The lemma completely determines  $H_*(E, d)$  as a vector space over

Q. Theorem D now follows.

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